



Attorney Docket No. GUL019-225663  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re: Hufnagl et al.  
Serial No.: 10/524,714  
Int'l. Filing Date: August 12, 2003  
For: MODULAR UNIVERSAL ADAPTER - TELEMEDICINE SYSTEM

**Mail Stop 16**  
**Director of the United States Patent and Trademark Office**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**REQUEST FOR REFUND**

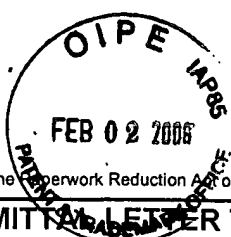
Sir:

On February 15, 2005, Applicant filed the above-identified application, along with a Transmittal Letter, Preliminary Amendment and Check No. 293441 in the amount of \$600.00 representing payment of the Basic National Fee, the Examination Fee, the Search Fee and Additional Claim Fees (copies enclosed). On June 24, 2005, the Office forwarded a Notification of Missing Requirements indicating that additional claim fees in the amount of \$200.00 were due (copy enclosed). On August 22, 2005, Applicant responded to the Notice and submitted an additional \$200.00 for excess claim fees. These fees had already been paid at the time the application was initially filed as evidenced in the enclosed documents. Accordingly, under 37 C.F.R. 1.28(a)(1), Applicant respectfully requests a refund in the amount of \$200.00 to Deposit Account No. 23-2820.

Respectfully submitted,

Dated: Jul 27 06

Robert F. Zielinski, Esq., Reg. No. 34,286  
Attorney for Applicant  
Wolf, Block, Schorr, and Solis-Cohen  
1650 Arch Street, 22nd Floor  
Philadelphia, PA 19103  
(215) 977-2348



EL970109548US PCT/PTO 02 FEB 2006

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER GUL019-225663
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/EP2003/008961	INTERNATIONAL FILING DATE 12 August 2003	PRIORITY DATE CLAIMED 15 August 2002
TITLE OF INVENTION Modular Universal Adapter - Telemedicine System		
APPLICANT(S) FOR DO/EO/US Peter HUFNAGL and Martin SCHULTZ		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). Date <u>2/15/06</u></p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>		


DOCKETED

Date

WBS&SC

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP2003/008961		ATTORNEY'S DOCKET NUMBER GUL019-225663		
The following fees have been submitted				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00		
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 100.00		
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400.00		
<b>TOTAL OF 21, 22 and 23 =</b>				<b>\$ 800.00</b>		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			
- 100 =	/50 =		x \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	28 - 20 =	8	x \$ 50	\$ 400.00		
Independent claims	1 - 3 =		x \$200	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ \$1,200.00</b>		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				Less \$600.00		
<b>SUBTOTAL =</b>				<b>\$ \$600.00</b>		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$		
<b>TOTAL NATIONAL FEE =</b>				<b>\$ \$600.00</b>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$		
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ \$600.00</b>		
				Amount to be refunded:	\$	
				Amount to be charged:	\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>600.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-2820</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Richard C. Weinblatt, Esq. Wolf, Block, Schorr and Solis-Cohen LLP 1650 Arch Street, 22nd Floor Philadelphia, PA 19103						
				 SIGNATURE		
				Richard C. Weinblatt, Esq.		
				NAME		
				45,500		
				REGISTRATION NUMBER		



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/524,714	Peter Hufnagl	GUL019-225663

Richard C Weinblatt  
 Wolf, Block Schorr and Solis-Cohen  
 1650 Arch Street  
 22nd Floor  
 Philadelphia, PA 19103

INTERNATIONAL APPLICATION NO.	
PCT/EP03/08961	
LA. FILING DATE	PRIORITY DATE
08/12/2003	08/15/2002

DOCKETED  
 Date                       
 WBS&SC

CONFIRMATION NO. 8340  
 371 FORMALITIES LETTER  
  
 \*OC00000016378399\*

Date Mailed: 06/24/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 02/15/2005
- Copy of the International Search Report filed on 02/15/2005
- Preliminary Amendments filed on 02/15/2005
- Information Disclosure Statements filed on 02/15/2005
- Oath or Declaration filed on 02/15/2005
- Small Entity Statement filed on 02/15/2005
- Request for Immediate Examination filed on 02/15/2005
- U.S. Basic National Fees filed on 02/15/2005
- Priority Documents filed on 02/15/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$265 for a Small Entity:

- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$ 200
  - \$200 for 8 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/524,714	PCT/EP03/08961	GUL019-225663

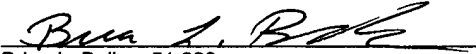
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Peter Hufnagl

Serial No. : 10/524,714  
International Application No. : PCT/EP03/08961  
Filed : August 12, 2003  
Title: : Modular Universal Adapter - Telemedicine System  
Art Unit : Not Yet Assigned  
Examiner : Not Yet Assigned

I hereby certify that this correspondence is being  
transmitted to the United States Patent and  
Trademark Office by facsimile to 571-273-8300 on

8/22/05

  
Brian L. Belles, 51,322

**Response to Notice of Missing Parts**

In response to the Notification of Missing Requirements dated June 24, 2005, Applicants submit contemporaneously herewith: (1) an executed Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.497(a)-(b); and (2) the required fee of \$265 (consisting of \$200 for the additional claims and \$65 for the late declaration surcharge).

WOLF, BLOCK, SCHORR & SOLIS-COHEN LLP



BY: BRIAN L. BELLES  
Reg. No. 51,322

Wolf, Block, Schorr & Solis Cohen LLP  
1650 Arch Street  
Philadelphia, PA 19103

DOCKETED

Date 8-23-05**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number 10/524,714

Filing Date 02/15/2005

First Named Inventor HUFNAGL

Art Unit UNKNOWN

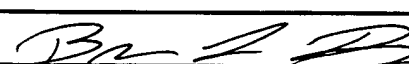
Examiner Name UNKNOWN

Attorney Docket Number GUL019-225663

**ENCLOSURES (Check all that apply)**


<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Enclosures constitute Reply to Notice of Missing Requirements Under 35 U.S.C. 371 in the U.S.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	
	Enclosed are:	
	1) A Copy of the Notice of Missing Requirements Under 35 U.S.C. 371 in the U.S. Designated/Elected Office (DO/EO/US),	
	2) An executed Declaration and Power of Attorney, and	
	3) PTO Form 2038 (Credit Card Payment Form) for payment of Additional Claims and Late Declaration	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Wolf, Block, Schorr and Solis-Cohen LLP		
Signature			
Printed name	Brian L. Belles, Esq.		
Date	August 22, 2005	Reg. No.	51,322

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Brian L. Belles, Esq.	Date	August 22, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## United States Patent and Trademark Office

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Credit Card Type: ☐ Visa ☒ MasterCard ☐ American Express ☐ Discover

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Credit Card Expiration Date: 06/07

Name as it Appears on Credit Card: Suzanne Kullman

Payment Amount: \$ (US Dollars): \$265.00 (Two hundred sixty-five dollars)

Cardholder Signature: 

Date: August 22, 2005

**Refund Policy:** The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The office will not refund amounts of \$25.00 or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR § 1.26). Refund of a fee paid by credit card will be issued as a credit to the credit card account to which the fee was charged.

**Service Charge:** There is a \$50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR § 1.21 (m)).

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Fax #: 215-405-2921

#### Request and Payment Information

Description of Request and Payment Information:

<input checked="" type="checkbox"/> Patent Fee	<input type="checkbox"/> Patent Maintenance Fee	<input type="checkbox"/> Trademark Fee	<input type="checkbox"/> Other Fee
Application No. 10/524,714	Application No.	Application No.	IDON Customer No.
Patent No.	Patent No.	Registration No.	
Attorney Docket No. GUL019-225663		Identify or Describe Mark	

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CHECK DATE: 02/15/05

CHECK NO: 293441

Date	Invoice No.	Description	Amount
02/15/05	1		600.00
Commissioner for Patents			600.00

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1650 ARCH STREET  
PHILADELPHIA, PA 19103-2097 New Jersey

CHECK NO. 293441

Date: 02/15/05

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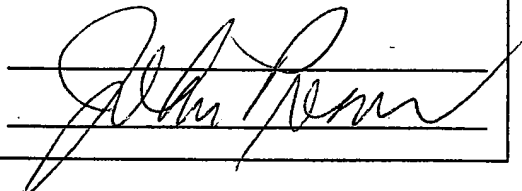
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Amount \$\*\*\*\*\*600.00

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⑈ 293441 ⑈ ⑆ 031202770 ⑆ 8009243558 ⑈